

Intermediary Security Manager Designation Form

INTERMEDIARY NAME (Legal Entity Name):

INTERMEDIARY ADDRESS:

The intermediary hereby understands and acknowledges:

1. The method by which it will access information from Atlantic Fund Services is on secure web-based platforms.
2. User ID and password combinations cannot be shared across different persons.
3. Inactive user ID's are subject to periodic review and revocation by Atlantic system administrators.
4. The roles and responsibilities of its Security Managers as set forth in this form below.

Roles & Responsibilities of a Security Manager

- Permission enabling and disabling of User IDs
- Request User password resets
- Impose User ID and Password security and confidentiality
- Notify Atlantic Fund Services if there is any reason to suspect security has been compromised

SECTION I: DESIGNATION OF SECURITY MANAGERS

Atlantic Fund Services requires assigning at least 2 security managers.

1. Security Manager's Details (required)	
Last Name:	
First Name:	
Title:	
Security Manager Signature:	
Telephone No.:	
E-mail Address:	
2. Alternate Security Manager's Details (required)	
Last Name:	
First Name:	
Title:	
Alternate Security Manager Signature:	
Telephone No.:	
E-mail Address:	
3. Alternate Security Manager's Details (optional)	
Last Name:	
First Name:	
Title:	
Alternate Security Manager Signature:	
Telephone No.:	
E-mail Address:	



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SECTION II: AUTHORIZED USERS

NOTE: user ID's and passwords cannot be shared across persons. Inactive user ID's are subject to periodic review and revocation.

Last Name	First Name	Email Address	Phone

ATLANTIC INTERNAL USE ONLY (please do not fill out information below this line)

SECTION III: APPLICATION ID ASSIGNMENT

Level of Access Required: ☐ Trust Level ☐ Dealer Level ☐ Branch Level ☐ Representative Level ☐ TIN Level

User ID Parameters

Trust ID	Dealer ID	Branch ID	Rep ID	TIN	Fund Company(ies)

Last Name	First Name	Funds AUM User ID Assigned

SECTION IV: AUTHORIZED ATLANTIC FUND SERVICES SIGNATORY

Brandon Stultz
Senior Vice President

Date